

Executive Certification in Risk Management & Corporate Governance

Executive Certification | 16 Credit Hours



1. Program Overview

Banks operate in environments where poor risk judgment, weak controls, governance failures, operational lapses, and compliance gaps can create financial losses, reputational damage, regulatory consequences, and long-term institutional weakness. This executive certification is designed to strengthen practical risk-management and governance awareness for banking and financial-sector professionals. The emphasis is on applied risk thinking, governance judgment, accountability, internal controls, escalation discipline, and institutional resilience.

2. This Program Helps Participants Answer

1. What risks must banks manage, and who is accountable for them?
2. How do governance structures influence risk decisions and institutional discipline?
3. How do weak controls, poor oversight, and accountability gaps create losses?
4. How should risks be identified, escalated, monitored, and mitigated?
5. What does a strong governance, ethics, and risk culture look like in practice?

3. Key Learning Areas

Banking risk fundamentals, corporate governance, board oversight, senior management accountability, risk governance, three lines of defense, internal controls, operational risk, credit/market/liquidity/compliance risk, ethics, conduct risk, transparency, escalation, and governance failures.

4. Target Participants

Branch managers, operations managers, risk professionals, compliance professionals, internal audit teams, relationship managers, credit officers, department heads, unit managers, banking trainees, and professionals moving into supervisory roles.

5. Program Structure / Modules

Module	Focus	Indicative Hours
Foundations of Risk Management & Corporate Governance	Risk categories, governance principles, accountability, and institutional resilience.	2
Corporate Governance in Banking Institutions	Board oversight, senior management responsibilities, committees, authority, transparency, and reporting.	3
Risk Governance & the Three Lines of Defense	Business units, risk management, compliance, internal audit, escalation, and accountability.	2
Operational Risk, Internal Controls & Control Failures	Process breakdowns, fraud risk, human error, documentation gaps, control design, and operational discipline.	3
Credit, Market, Liquidity & Compliance Risk Overview	Key banking risks and their effect on business decisions and institutional stability.	2
Ethics, Conduct Risk & Governance Culture	Conflicts of interest, responsible decision-making, tone from the top, ethics, and risk culture.	2
Practical Governance & Risk Case Workshop	Governance failures, weak controls, risk escalation, accountability breakdowns, and corrective actions.	2

6. Practical Learning Methodology

Banking-risk cases, governance scenarios, control-failure examples, risk-identification exercises, escalation simulations, internal-control mapping, ethical decision-making discussions, and practical risk-response workshops.

7. Expected Learning Outcomes

1. Understand major banking risks and governance responsibilities.
2. Recognize how weak controls and poor oversight create institutional risk.
3. Apply risk identification, escalation, and mitigation principles.
4. Understand the role of governance, ethics, and accountability in banks.
5. Participate more effectively in risk, compliance, audit, and governance discussions.

8. Program Information

Item	Details
Course Code	C2026/07/05
Certification Type	Executive Certification
Duration	16 Credit Hours
Delivery Format	In-Person / Online / Hybrid, subject to institutional requirements
Recommended Cohort Size	20-35 participants
Scheduling Options	Weekday / Weekend / Customized corporate cohorts
Corporate Delivery	Available for in-house institutional delivery
Assessment Format	Case discussions, risk-identification exercises, governance scenarios, and practical assessment
Certification Awarded By	NID Capital
Pricing	Customized corporate pricing available upon request
Note	Module hours are indicative and may be adjusted for customized corporate cohorts.